

# Summary of Chapter 2 of Nielsen and Chuang's book: Quantum Computation and Quantum Information

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## Chapter 2: introduction to quantum mechanics

- 1 Postulates of QM
- 2 Entangled states and Superdense Coding
- 3 Density operator formulation
- 4 Schmidt decomposition and purification of states
- 5 CHSH inequality and QM: a contradiction?

# Outline

- 1 Postulates of QM
- 2 Entangled states and Superdense Coding
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## #1 : state space and state vector

### Postulate 1

*“Associated to any isolated physical system is a complex vector space with inner product (that is, a Hilbert space) known as the state space of the system. The system is completely described by its state vector, which is a unit vector in the system’s state space.”*

**Example** The simplest quantum mechanical system (and the system which is most used in the book) is the qubit.

A qubit has a two dimensional state space with  $|0\rangle, |1\rangle$  forming an orthonormal basis for that state space, so that the arbitrary state vector has the form

$$|\psi\rangle = a|0\rangle + b|1\rangle$$

with  $|a|^2 + |b|^2 = 1$ .

**Remark** For real physical systems described in terms of qubits → Chapter 7.

## #2 : dynamics

### Postulate 2 (discrete time)

*"The evolution of a closed quantum system is described by a unitary transformation. That is, the state  $|\psi\rangle$  of the system at time  $t_1$  is related to the state  $|\psi'\rangle$  of the system at time  $t_2$  by*

$$|\psi'\rangle = U|\psi\rangle.$$

*where  $U$  is a unitary operator (i.e.  $UU^\dagger = U^\dagger U = I$ ) which depends only on the times  $t_1$  and  $t_2$ ."*

**Example** For the qubit, examples of  $U$  are  $X$  the bit flip,  $Z$  the phase flip,  $Y$  and  $H$  the Hadamard gate.

### Postulate 2' (continuous time)

*"The time evolution of the state of a closed quantum system is described by the Schrödinger equation*

$$i\hbar \frac{d|\psi\rangle}{dt} = H|\psi\rangle$$

*where  $\hbar$  is a constant (for us fixed to 1) and  $H$  is a fixed Hermitian operator (i.e.  $H = H^\dagger$ ) known as the Hamiltonian of the closed system."*

**Remark** Solving Schrödinger equation  $|\psi(t_2)\rangle = \exp(-iH(t_2 - t_1))|\psi(t_1)\rangle$ .

## #3 : measurements

### Postulate 3

*“Quantum measurements are described by a collection  $\{M_m\}$  of measurement operators acting on the state space of the system being measured, where  $m$  refers to the measurement outcomes that may occur in the experiment. If the state of the quantum system is  $|\psi\rangle$  immediately before the measurement then*

$$p(m) = \langle \psi | M_m^\dagger M_m | \psi \rangle = \text{probability that } m \text{ occurs}$$

*and the state after the measurement is  $\frac{M_m |\psi\rangle}{\sqrt{\langle \psi | M_m^\dagger M_m | \psi \rangle}}$ . The measurement operators satisfy the completeness equation  $\sum_m M_m^\dagger M_m = I$ , which expresses the fact that probabilities has to sum up to 1.”*

**Example** Measurement of a qubit in the computational basis is done by taking  $M_0 = |0\rangle\langle 0|$ ,  $M_1 = |1\rangle\langle 1|$ . Then if the state before measurement is  $|\psi\rangle = a|0\rangle + b|1\rangle$  one has

$$p(0) = \langle \psi | M_0^\dagger M_0 | \psi \rangle = \langle \psi | M_0 | \psi \rangle = |a|^2, \quad p(1) = \langle \psi | M_1^\dagger M_1 | \psi \rangle = \langle \psi | M_1 | \psi \rangle = |b|^2,$$

and post-measurement states are respectively  $\frac{a}{|a|}|0\rangle$  and  $\frac{b}{|b|}|1\rangle$  (which are effectively just  $|0\rangle$  and  $|1\rangle$  since they differ only by a multiplier of modulus 1.)

## Indistinguishability of non-orthogonal quantum states

Suppose  $|\psi_i\rangle, i = 1, \dots, n$  are orthonormal states. Then it is possible to distinguish them by using quantum measurements in the following way:

- construct  $M_i = |\psi_i\rangle\langle\psi_i|$  for each  $i = 1, \dots, n$  and  $M_0$  as the positive square root of the positive operator  $I - \sum_i |\psi_i\rangle\langle\psi_i|$  (so that the collection  $\{M_m\}_{m=0}^n$  satisfies the completeness equation).
- if the state  $|\psi_i\rangle$  is prepared then

$$p(i) = \langle\psi_i|M_i|\psi_i\rangle = 1.$$

**However** non-orthogonal quantum states can't be reliably distinguished.

# Projective measurement

## Definition

A projective measurement is an observable  $M$ , i.e. Hermitian operator on the state space of the system being observed. It has a spectral decomposition

$$M = \sum_m m P_m$$

where  $P_m$  is the orthogonal projector onto the eigenspace of eigenvalue  $m$  which is the possible outcome of the observable. If  $|\psi\rangle$  is prepared then

$$p(m) = \langle \psi | P_m | \psi \rangle = \text{probability that } m \text{ occurs}$$

and the state after measurement is  $\frac{P_m |\psi\rangle}{\sqrt{p(m)}}$ .

**Remark** Postulate 3 reduces to a projective measurement when we require the general measurement operators  $M_m$  to be orthogonal projectors : Hermitian operators such that  $M_m M_{m'} = \delta_{m,m'} M_m$ .

**Example** On a qubit,  $Z$  is a projective measurement which decomposes as  $Z = +1P_1 - 1P_{-1}$  where  $P_1 = |0\rangle\langle 0|$  and  $P_{-1} = |1\rangle\langle 1|$ .



# Heisenberg uncertainty principle

- Average values of the observable  $M$  is given by

$$\langle M \rangle = \sum_m mp(m) = \sum_m m \langle \psi | P_m | \psi \rangle = \langle \psi | M | \psi \rangle.$$

- Standard deviation associated to observation of  $M$  is given by

$$(\Delta(M))^2 = \langle (M - \langle M \rangle)^2 \rangle = \langle M^2 \rangle - \langle M \rangle^2.$$

- If  $C, D$  are two observable,  $|\psi\rangle$  a quantum state, the Heisenberg uncertainty principle states that

$$\Delta(C)\Delta(D) \geq \frac{|\langle \psi | [C, D] | \psi \rangle|}{2}.$$

# Positive Operator-Valued Measure

## Definition

A POVM is defined as a collection of positive operators  $\{E_m\}$  such that  $\sum_m E_m = I$ . Notice that  $p(m) = \langle \psi | E_m | \psi \rangle$ .

**Example** For a given collection  $\{M_m\}$  of general measurements, one can define a POVM by taking the positive operators  $E_m = M_m^\dagger M_m$ .

For a projective measurement  $M = \sum_m m P_m$  the POVM elements are  $E_m = P_m$ .

Why introducing general measurements then?

- General measurements are mathematically easier.
- Important problems in quantum computation/information need general measurements (e.g. optimal way to distinguish a set of quantum states).
- Projective measurements are *repeatable* in the sense that: for  $|\psi\rangle$  being the initial state, if the outcome of the measure is  $m$  then the post-measurement state is  $|\psi_m\rangle = P_m|\psi\rangle / \sqrt{p(m)}$  and applying again  $P_m$  does not change it, i.e.  $\langle \psi_m | P_m | \psi_m \rangle = 1$ . There are measurements which do not have this property.
- POVMs are best viewed as a special case of general measurements, providing the simplest means by which one can study general measurement statistics, without caring about post-measurement state.

## #4 : composite systems

### Postulate 4

*“The state space of a composite physical system is the tensor product of the state spaces of the component physical systems. Moreover, if we have systems numbered  $1, \dots, n$ , and system number  $i$  is prepared in the state  $|\psi_i\rangle$ , the joint state of the total system is  $|\psi_1\rangle \otimes \dots \otimes |\psi_n\rangle$ .”*

**Remark** Projective measurements and unitary dynamics together with Postulate 4 give general measurements (with properties as stated in Postulate 3).

- Construct an ancilla system with state space  $M$  and orthonormal basis in 1-1 correspondence with possible outcomes of the measurement  $|m\rangle$ .
- Define  $U$  on  $Q \otimes |0\rangle$  (here  $|0\rangle$  is any fixed state of  $M$ ), as

$$U|\psi\rangle|0\rangle = \sum_m M_m|\psi\rangle|m\rangle.$$

Then  $U$  preserves inner products between states of  $Q \otimes |0\rangle$  and thus it can be extended to the all space  $Q \otimes M$  as unitary operator.

- Consider the projective measurement on  $Q \otimes M$  given by orthogonal projectors  $P_m = I_Q \otimes |m\rangle\langle m|$  then one can obtain  $p(m) = \langle\psi|M_m^\dagger M_m|\psi\rangle$  and the post-measurement state of  $M$  will be  $|m\rangle$  and of  $Q$  will be  $M_m|\psi\rangle/\sqrt{p(m)}$ .

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# Entangled states

## Definition

A state of a composite system that can't be written as a product of states of its component systems is called an entangled state.

**Example**  $|\beta_{00}\rangle = \frac{|00\rangle + |11\rangle}{\sqrt{2}}$  is an entangled state.

Indeed : suppose instead that there exist qubits  $|a\rangle, |b\rangle$  such that  $|\beta_{00}\rangle = |a\rangle|b\rangle$ . Then by writing them in the computational basis

$$|a\rangle = a_0|0\rangle + a_1|1\rangle, |b\rangle = b_0|0\rangle + b_1|1\rangle.$$

we obtain

$$|\beta_{00}\rangle = a_0b_0|00\rangle + a_0b_1|01\rangle + a_1b_0|10\rangle + a_1b_1|11\rangle.$$

Thus we should have

$$a_0b_1 = 0, a_1b_0 = 0, a_0b_0 = \frac{1}{\sqrt{2}} = a_1b_1$$

which gives the contradiction.

## The task

Alice wants to send two bits of classical information to Bob but she is only allowed to send a single qubit to him.

This can be accomplished by using the entangled state  $|\beta_{00}\rangle$  and following this procedure.

- A third part prepares the Bell state  $|\beta_{00}\rangle$  and sends to Alice the first qubit  $|\psi_A\rangle = (|0_A\rangle + |1_A\rangle)/\sqrt{2}$  and to Bob the second one  $|\psi_B\rangle = (|0_B\rangle + |1_B\rangle)/\sqrt{2}$ .
- Before send her qubit to Bob, Alice performs a transformation in function of the message she wants Bob to receive:

$$\begin{aligned} 00 &\rightarrow I \rightarrow (|0\rangle + |1\rangle)/\sqrt{2}, & 01 &\rightarrow X \rightarrow (|1\rangle + |0\rangle)/\sqrt{2}, \\ 10 &\rightarrow Z \rightarrow (|0\rangle - |1\rangle)/\sqrt{2}, & 11 &\rightarrow iY \rightarrow (-|1\rangle + |0\rangle)/\sqrt{2} \end{aligned}$$

## Alice sends...

Once Alice has sent her (transformed) qubit to Bob, he has in his hands one of the following resulting states

$$\frac{|00\rangle + |11\rangle}{\sqrt{2}} = |\beta_{00}\rangle, \quad \frac{|10\rangle + |01\rangle}{\sqrt{2}} = |\beta_{01}\rangle$$
$$\frac{|00\rangle - |11\rangle}{\sqrt{2}} = |\beta_{10}\rangle, \quad \frac{-|10\rangle + |01\rangle}{\sqrt{2}} = |\beta_{11}\rangle$$

which are exactly the four states of the Bell basis, which is an orthonormal basis for a two qubits space.

These states can then be distinguished by an appropriate quantum measurement. By doing a measurement in the Bell basis Bob can determine which of the four possible bit strings Alice sent.

**Remark** Notice that Alice never had to interact with the second qubit. If she only had the opportunity to transmit a single classical bit, the task would have been impossible to accomplish → Chapter 12.

## ...and Bob decodes

In other words, to decode the information that Alice sent, Bob can do the following

- apply a CNOT with control qubit being Alice's qubit and target qubit being his own qubit.
- on the result Bob applies then the transformation  $H_1 I_2$  which gives him one of the four states of the computational basis  $|00\rangle, |01\rangle, |10\rangle, |11\rangle$ , that tells him which two bits information Alice wanted to send.

**Example** Suppose that Alice sent to Bob the qubit  $(|0\rangle + |1\rangle)/\sqrt{2}$  (willing to send him the message 00) and so he has in his hands  $|\beta_{00}\rangle$ . Then

$$|\beta_{00}\rangle \xrightarrow{\text{CNOT}} |\beta'_{00}\rangle = (|00\rangle + |10\rangle)/\sqrt{2} \xrightarrow{H_1 I_2} |\beta''_{00}\rangle = \frac{1}{\sqrt{2}} \left( \underbrace{H|0\rangle}_{=\frac{|0\rangle+|1\rangle}{\sqrt{2}}} |0\rangle + \underbrace{H|1\rangle}_{=\frac{|0\rangle-|1\rangle}{\sqrt{2}}} |0\rangle \right) = |00\rangle$$

which tells Bob that Alice's message was indeed 00.



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# The density operator

## Definition

Suppose that a quantum system is in one of the states  $|\psi_i\rangle$  with probability  $p_i$ . Then  $\{|\psi_i\rangle, p_i\}$  is called the ensemble of pure states of the system and the density operator associated to it is

$$\rho = \sum_i p_i |\psi_i\rangle \langle \psi_i|.$$

A quantum system whose state  $|\psi\rangle$  is known exactly is said to be in a pure state. In this case the density operator is simply  $\rho = |\psi\rangle \langle \psi|$ . Otherwise,  $\rho$  is in a mixed state.

**Property**  $\text{tr}(\rho^2) = 1$  if and only if  $\rho$  is in a pure state.

## Theorem

*An operator  $\rho$  is the density operator associated to some ensemble  $\{p_i, |\psi_i\rangle\}$  if and only if it satisfies the conditions:*

- *(Trace condition)  $\rho$  has trace equal to one.*
- *(Positivity condition)  $\rho$  is a positive operator.*

## Recovering the 4 postulates

- 1 Associated to any isolated physical system is a complex vector space with inner product (that is, a Hilbert space) known as the state space of the system. The system is completely described by its density operator  $\rho$  which is positive and of trace 1.
- 2 The evolution of a closed quantum system is described by a unitary transformation  $U$ . That is, the density operator  $\rho$  at  $t_1$  and the one  $\rho'$  at  $t_2$  are related

$$\rho \xrightarrow{U} \rho' = U\rho U^\dagger.$$

- 3 Quantum measurements are described by a collection  $\{M_m\}$  of measurement operators acting on the state space of the system being measured, where  $m$  refers to the measurement outcomes. If the system is described by  $\rho$  then

$$p(m) = \text{tr} \left( M_m^\dagger M_m \rho \right)$$

and the density operator of the system after measurement is  $M_m^\dagger \rho M_m / \text{tr}(M_m^\dagger M_m \rho)$ .

- 4 The state space of a composite physical system is the tensor product of the state spaces of the component physical systems. Moreover, if we have systems numbered  $1, \dots, n$ , and system number is described by the density operator  $\rho_i$  then the composite system is described by  $\rho_1 \otimes \dots \otimes \rho_n$ .

## Unitary freedom in the ensemble for density matrices

**Example** Consider the density operator

$$\rho = \frac{3}{4}|0\rangle\langle 0| + \frac{1}{4}|1\rangle\langle 1|.$$

It is also obtained for a quantum system prepared in the states

$$|a\rangle = \sqrt{\frac{3}{4}}|0\rangle + \sqrt{\frac{1}{4}}|1\rangle, \quad |b\rangle = \sqrt{\frac{3}{4}}|0\rangle - \sqrt{\frac{1}{4}}|1\rangle$$

both with probability  $1/2$ .

**Question** What class of ensembles of states does give rise to a particular density matrix?

### Theorem

*For normalized sets of states  $|\psi_i\rangle, |\varphi_j\rangle$  with probability distribution  $p_i, q_j$ , the density matrix is the same*

$$\rho = \sum_i p_i |\psi_i\rangle\langle\psi_i| = \sum_j q_j |\varphi_j\rangle\langle\varphi_j|$$

*if and only if*

$$\sqrt{p_i}|\psi_i\rangle = \sum_j u_{ij}\sqrt{q_j}|\varphi_j\rangle$$

*for some unitary matrix  $u_{ij}$ .*

## Reduced density operator and quantum entanglement

The reduced density operator describes sub-systems of composite quantum systems.

### Definition

Suppose there are two physical systems  $A, B$  described by the density operator  $\rho^{AB}$ . The reduced density operator for the system  $A$  is defined by

$$\rho_A = \text{tr}_B(\rho^{AB})$$

where the partial trace over  $B$  acts as

$$\text{tr}_B(|a_1\rangle\langle a_2| \otimes |b_1\rangle\langle b_2|) = |a_1\rangle\langle a_2| \text{tr}(|b_1\rangle\langle b_2|).$$

*Another Hallmark of quantum entanglement.* Consider again the Bell state  $|\beta_{00}\rangle$ . The density operator for a system in the pure state  $|\beta_{00}\rangle$  is

$$\rho = \left( \frac{|00\rangle + |11\rangle}{\sqrt{2}} \right) \left( \frac{\langle 00| + \langle 11|}{\sqrt{2}} \right) = \frac{1}{2} (|00\rangle\langle 00| + |11\rangle\langle 00| + |00\rangle\langle 11| + |11\rangle\langle 11|).$$

Tracing out the second qubit one finds a density operator for the first qubit which is

$$\rho^1 = \text{tr}_2(\rho) = \dots = \frac{I}{2}$$

which is a mixed state!

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# Schmidt decomposition theorem

## Theorem

Suppose  $|\psi\rangle$  is a pure state of a composite system  $AB$ . Then there exist orthonormal states for the system  $A$ ,  $|i_A\rangle$  and orthonormal states for the system  $B$ ,  $|i_B\rangle$  such that

$$|\psi\rangle = \sum_i \lambda_i |i_A\rangle |i_B\rangle$$

with  $\lambda_i$  real non-negative numbers satisfying  $\sum_i \lambda_i^2 = 1$ .

**Remark 1** Notice that taking the reduced density operator for the systems  $A$  and  $B$  we obtain respectively

$$\rho^A = \sum_i \lambda_i^2 |i_A\rangle \langle i_A|, \quad \rho^B = \sum_i \lambda_i^2 |i_B\rangle \langle i_B|.$$

The fact that  $\rho^A, \rho^B$  shares the same eigenvalues implies that they share as well many other properties which depend on the eigenvalues.

**Remark 2**  $\lambda_i$  are called Schmidt coefficients and the number of non-zero  $\lambda_i$  is called the Schmidt number of  $|\psi\rangle$  and it quantifies the amount of entanglement of the state  $|\psi\rangle$ .

## Purification procedure

### Definition

For a given quantum system  $A$  with density operator

$$\rho^A = \sum_i p_i |i_A\rangle \langle i_A|,$$

a purification is a pure state of a composite system  $|AR\rangle$ , where  $R$  is an artificial quantum system having the same state space of  $A$ , such that

$$\text{tr}(|AR\rangle \langle AR|) = \rho^A.$$

The pure state  $|AR\rangle$  is built up by taking an orthonormal basis  $|i_R\rangle$  and defining

$$|AR\rangle = \sum_i \sqrt{p_i} |i_A\rangle |i_R\rangle.$$

**Remark** For application in quantum computation / quantum information  $\rightarrow$  see Part III.



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## The setting

“To obtain Bell's inequality, we're going to do a thought experiment, which we will analyze using our common sense notions of how the world works”.

**The experiment** Charlie prepares two particles and he sends one particle to Alice, and the second particle to Bob, which are going to perform some measurements of physical properties  $P_Q, P_R$  and  $P_S, P_T$  respectively.



## Assumptions

1. They perform their measurement simultaneously so that they cannot disturb one the result of the other and also they are far enough a part so that measurement on one system cannot influence measurement on the other.
2. These are *objective* properties, which values  $Q, R, S, T$  are revealed by the experiment but exists independently of it.

# Proof of CHSH inequality

## CHSH inequality

The CHSH inequality states that

$$\mathbb{E}(QS) + \mathbb{E}(RS) + \mathbb{E}(RT) - \mathbb{E}(QT) \leq 2.$$

- Notice that

$$QS + RS + RT - QT = (Q + R)S + (R - Q)T$$

and either  $(Q + R)S$  or  $(R - Q)T = 0$  since  $R, Q = \pm 1$ . In both cases then  $QS + RS + RT - QT = \pm 2$ .

- Denoting  $p(q, r, s, t)$  the probability that right before the measurement the system is in a state such that  $Q = q, R = r, S = s, T = t$ , we can write

$$\mathbb{E}(QS + RS + RT - QT) = \sum_{qrst} p(q, r, s, t)(qs + rs + rt - qt) \leq \sum_{qrst} p(q, r, s, t)2 \leq 2.$$

- And also

$$\begin{aligned}\mathbb{E}(QS + RS + RT - QT) &= \sum_{qrst} p(q, r, s, t)qs + \sum_{qrst} p(q, r, s, t)rs \\ &\quad + \sum_{qrst} p(q, r, s, t)rt - \sum_{qrst} p(q, r, s, t)qt \\ &= \mathbb{E}(QS) + \mathbb{E}(RS) + \mathbb{E}(RT) - \mathbb{E}(QT).\end{aligned}$$

## QM violation

Suppose that Charlie prepares a quantum system in the two qubits state

$$|\psi\rangle = \frac{|01\rangle - |10\rangle}{\sqrt{2}}$$

and it sends the first qubit to Alice while the second to Bob. They perform measurements of the following observables

$$Q = Z_1, \quad S = \frac{-Z_2 - X_2}{\sqrt{2}},$$
$$R = X_1, \quad T = \frac{Z_2 - X_2}{\sqrt{2}}.$$

Direct computation shows that

$$\langle QS \rangle = \frac{1}{\sqrt{2}} = \langle RS \rangle = \langle RT \rangle, \quad \langle QT \rangle = -\frac{1}{\sqrt{2}}$$

which gives the contradiction with CHSH inequality since

$$\langle QS \rangle + \langle RS \rangle + \langle RT \rangle - \langle QT \rangle = 2\sqrt{2} > 2!$$

## Tsirelson's inequality

Moreover, this is the *maximal* violation of CHSH inequality since the Tsirelson's inequality assures that

$$\langle QS \rangle + \langle RS \rangle + \langle RT \rangle - \langle QT \rangle \leq 2\sqrt{2}$$

for any  $Q, R, S, T$  written as  $Q = \vec{q} \cdot \vec{\sigma}$ ,  $R = \vec{r} \cdot \vec{\sigma}$ ,  $S = \vec{s} \cdot \vec{\sigma}$ ,  $T = \vec{t} \cdot \vec{\sigma}$  and  $\vec{q}, \vec{r}, \vec{s}, \vec{t}$  being unitary vectors in  $\mathbb{R}^3$ .

## Conclusion

Experiments with photons confirmed the prediction of QM equation

$$\langle QS \rangle + \langle RS \rangle + \langle RT \rangle - \langle QT \rangle = 2\sqrt{2}$$

resolving the paradox in our computation.

*“The CHSH inequality is not obeyed by Nature.”*

**Question** What was wrong in our computation to get CHSH inequality then?

Many studies pointed out that the answer is hidden behind the two assumptions we made:

- 1 *realism*, the fact that the physical properties  $P_Q, P_R, P_S, P_T$  to have definite values  $Q, R, S, T$  which exist independent of observation;
- 2 *locality*, the fact that Alice performing her measurement does not influence the result of Bob's measurement.